



Pre-Sampling Questionnaire (Producer) Subsidy Investigation

Case AS0046: Certain Excavators exported from the People's Republic of China (PRC)

Period of Investigation:	01 July 2022 – 30 June 2023
Injury Period:	01 July 2019 – 30 June 2023
Deadline for response:	30 November 2023
Case Team Contact:	AS0046@traderemedies.gov.uk
Completed on behalf of:	<i>JCB Heavy Products Limited</i>

When you have completed this form, indicate the **confidentiality** status of this document by placing an X in the relevant box below and in the header. We strongly recommend this questionnaire to be completed on the computer, so this step is easy to complete:

- Confidential
 Non-Confidential – will be made publicly available

Please note that you will have to provide **two copies of your response** – a **Confidential** and a **Non-Confidential version**. Both copies must be returned to the TRA using the Trade Remedies Service (www.trade-remedies.service.gov.uk) by **30 November 2023**.



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The scope of this investigation

Goods concerned

This investigation covers certain excavators exported from the PRC, described as:

- ***Self-propelled track-laying (i.e., tracked) excavators with a 360° revolving superstructure and with an operating weight of 11,000 kg (i.e., 11 tons) or more.***

These excavators are currently classifiable within the following commodity code 8429 5210 00. This commodity code is only given for information, and includes 'mini' excavators under 11 tons.

In this pre-sampling questionnaire, these goods will be referred to as the goods concerned. Any reference to the goods concerned in this pre-sampling questionnaire refers to the goods description above, regardless of the commodity code under which they are exported.

Like goods

This pre-sampling questionnaire asks for information about your company's imports and sales of goods which are like the goods concerned. Any reference to 'like goods' in this pre-sampling questionnaire refers to goods produced in the UK or imported to the UK from a country other than the PRC which are like the goods concerned in all respects, or with characteristics closely resembling them.

Please follow the instructions for each question to provide the appropriate information regarding the goods concerned or like goods.

For more information about this case, you may refer to the Notice of Initiation published at: <https://www.trade-remedies.service.gov.uk/public/case/AS0046/>



Instructions

Who should complete this form

You should complete this form if you are a UK producer of the like goods in the investigation.

Why you are being asked to complete this pre-sampling questionnaire

You have identified yourself as a UK producer of the like goods. We are therefore seeking your cooperation in the investigation.

This pre-sampling questionnaire allows us to collect basic information and data about your company. If a large number of UK producers complete this pre-sampling questionnaire, we will use the information each one provides to help us decide which companies we want to sample for further investigation. If you are sampled, we will send you a more detailed questionnaire to complete.

Deadline for response

A completed pre-sampling questionnaire must be submitted to the TRA by no later than **30 November 2023**. If you are unable to provide a completed submission by the given due date and you wish to request an extension, please contact lead investigator, **David George**, AS0046@traderemedies.gov.uk and see the TRA's guidance on extension requests for further information.

Note about confidentiality

You will need to submit one confidential version and one non-confidential version of your pre-sampling questionnaire by the due date.

Please ensure that each page of information you provide is clearly marked either "Confidential" or "Non-Confidential" in the header.

It is your responsibility to ensure that the non-confidential version does not contain any confidential information, which includes personal contact information, names and signatures.

Please see the [TRA's public guidance](#) for further information on what can be considered confidential and how to prepare a non-confidential version of this questionnaire.

All information provided to the TRA in confidence will be treated accordingly and only used for this investigation (except in limited circumstance as permitted by regulation 46 of the Trade Remedies (Dumping and Subsidisation) (EU Exit) Regulations 2019) and will be stored in protected systems.



Trade Remedies
Authority

OFFICIAL

Confidential

Trade Remedies Authority

Non-Confidential

The non-confidential version of your submission will be placed on the public file, which is available on <https://www.trade-remedies.service.gov.uk/public/case/AS0046/>



Section A – Activities of your company and any associated parties

A1 – Your company’s activities

To determine your company’s role for the purpose of this investigation, please select the activity/activities of your company below. For a definition of goods concerned/like goods please refer to above section on the scope of this investigation.

- producer of the like goods in the UK
- other (please give details below)

If you have selected ‘other’, please describe the role of your company with regards to goods concerned or like goods:

N/A

Please describe your interest in this investigation:

JCB Heavy Products Limited is the Applicant.

A2 – Associated parties and operational links

Please give details of all associated parties involved with the company in the production and sales (export and/or domestic) of the like goods during the POI. Both natural persons (individuals) and legal persons (e.g. companies) are considered to be associated where they meet the definition of ‘Related Persons’ in [regulation 128 of the Customs \(Import Duty\) \(EU Exit\) Regulations 2018](#).

Examples of activities with associated parties could include production, domestic sales, export sales, and/or further processing of the like goods.

	Company name	Company location (city, country)	Activities	Relationship
Associated Party 1	<i>[Sensitive information removed – Applicant's business operations]</i>			

+ Add additional rows as required



Section B – Production, sales and imports

B1 – Production

Please fill in your company's total UK production volume and capacity for the like goods in the table below.

POI: 1 July 2022 – 30 June 2023	Volume (KG)	Volume units
Overall production of the like goods during the POI	<i>[Sensitive information removed – Commercially sensitive information]</i>	<i>[1500-2500]</i>
Total production capacity of the like goods during the POI	<i>[Sensitive information removed – Commercially sensitive information]</i>	<i>[6000-8000]</i>

B2 – Sales volume and value

Please provide your UK sales data for the like goods produced in the UK by your company.

POI: 1 July 2022 – 30 June 2023	Volume (KG)	Volume units	Value in GBP (£)
UK sales of the like goods produced in the UK during the POI	<i>[Sensitive information removed – Commercially sensitive information]</i>	<i>[500-1000]</i>	<i>[40000000-80000000]</i>



B3 – Imports and Sales

If your company has also imported the goods concerned from the PRC or like goods from any other country and sold them in the UK during the POI, provide your sales data for these imported goods concerned/like goods.

POI: 1 July 2022 – 30 June 2023	Volume (KG)	Volume units	Value in GBP (£)
Imports of the goods concerned from the PRC during the POI	<i>[Sensitive information removed – No material volumes or values]</i>		
Imports of the like goods from countries other than the PRC during the POI			
UK sales of the goods concerned imported from the PRC during the POI			
UK sales of the like goods, imported from countries other than the PRC during the POI			



Section C – Additional information

C1 – Other interested parties

If you believe there are other interested parties that should receive a questionnaire, please provide their organisation name and website details below.

Organisation name	Website
<i>See Section C.2.3 of the Application.</i>	

C2 – Particular Market Situation

If you have any concerns or information about the possible existence of a particular market situation in the exporting country or territory, please provide details in the box below. This can include examples such as:

- Prices are artificially low
- There is significant barter trade (e.g. goods exchanged for other goods)
- Prices reflect non-commercial factors; or
- Anything else

See Section E.2.1 of the Anti-dumping Application (AD0047).

C3 – Scope

Do you agree with the scope of the investigation as outlined on page 3?

- Yes
 No

If you have answered no to the above question, please can you explain why?

N/A

C4 – Product Control Numbers

The TRA uses Product Control Numbers (PCNs) to define and distinguish the different types of products that fall under the goods description above.

PCNs, which come in the form of an alphanumeric code, help to create a categorisation system so that comparisons can be made between goods produced in the domestic UK market and those produced in foreign markets.



Given the relatively low number of sales in the UK Excavator market and the large number of configurations available for purchase (e.g., air conditioning for the cab, dozer blades or not, and different sizes of track shoes), we consider it is not useful to add further PCNs. Instead, any differences in physical characteristics relevant to the calculations (e.g., any costly attachments or options) should be addressed through adjustments.

We have created a draft PCN table and enclose this here for you now.

Category	Description	Basic Operating weight
S	Small Excavators	>=11 tons <15 tons
M	Medium Excavators	>=15 tons <20 tons
L	Large Excavators	>=20 tons <30 tons
XL	Extra-Large Excavators	>=30 tons

Please review the draft PCN structure for this investigation shown in the table above. Include any comments on the PCN structure in the box provided.

The Applicant agrees with the PCNs.

C5 – Economic Interest Test

It is a requirement of the investigation to conduct an Economic Interest Test (EIT). The aim of the EIT is to determine whether the implementation of the proposed trade remedy measure is in the wider economic interest of the UK. In order to obtain a complete picture of the UK market, could you please help by providing us with details of your UK suppliers (upstream companies providing inputs) and UK customers (downstream companies buying your like goods). Please confirm in the final column if we are able to contact these companies.

	Company name	Company location (city, country)	Company Contact Information (email/telephone)	Relationship	Can we contact Y/N
1	<i>[Sensitive information removed – Applicant's business operations]</i>				



C6 – Anything else

Please use the box below to provide information about anything else you consider relevant to this investigation.

N/A

Now you have reached the end of this questionnaire please ensure that you have prepared a confidential and non-confidential version and indicated the status of each within the header. The non-confidential version should redact personal contact information, names, signatures, and exact sales quotes. Redacted figures should be replaced with a range where possible. Please return both versions to the TRA using the Trade Remedies Service (trade-remedies.service.gov.uk).