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A3 - Organisational Structure		
Case no.:		AS0046
Company name:		SANY Heavy Machinery Limited

If your company is the subsidiary of another company, complete the table below		
	Parent Company	Ultimate Controlling Company
Name	Sany Heavy Industry Co., Ltd.	Sany Heavy Industry Co., Ltd.
Registration number / Country of Registration	91110000616800612P/China	91110000616800612P/China

• Please complete the table below for any associated companies.		
• The first row has been entered as an example - please delete before submission		

General Information							Activities	Shareholding	
Company name_CN	Company name_EN	Address 地址	Company representative and role	Representative email	Representative telephone (Include country code in parenthesis)	Relationship	List activities	Percentage shareholding in the associated company	Percentage shareholding held by associated company in your company
三一重机有限公司	SANY Heavy Machinery Limited	kunshan,China	[Redacted – personal information which identifies individuals]	[Redacted – personal information which identifies individuals]	[Redacted – personal information which identifies individuals]	This company	Production and sales of excavators	N/A	N/A
[Redacted - commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – personal information which identifies individuals]	[Redacted – personal information which identifies individuals]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
上海三一重机股份有限公司	Shanghai SANY Heavy Machinery Co., Ltd.	Shanghai, China	[Redacted – commercially sensitive information]	[Redacted – personal information which identifies individuals]	[Redacted – personal information which identifies individuals]	subsidiary	Production and sales of excavators	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
三一重机（英国）有限公司	SANY HEAVY MACHINERY (UK) LTD.	UK	[Redacted – commercially sensitive information]	[Redacted – personal information which identifies individuals]	[Redacted – personal information which identifies individuals]	same ultimate shareholder	Purchase and local sales of excavators	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]



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A7.1 - Your Company's Products and similarities	
Case no.:	AS0046
Company name:	SANY Heavy Machinery Limited

- Please complete the table below for all PCN codes identified for both your domestic and export markets
- If there are a number of models within each PCN then please list each PCN/model separately (or by similar group of models) so as to provide meaningful characteristics.
- If an associated company produces or sells the like goods/goods concerned, indicate their name in the associated party column, otherwise please write not applicable (N/A).

List of PCNs that your company produces		
PCN	Company code CCN equivalent(Product number)	Associated party*, if applicable
S	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
M	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
L	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
XL	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]

Product Similarities		
Characteristics of your company's product	Identical to other products available in the UK?(Yes/No/Don't Know)	If No, explain the differences
[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
[Redacted – personal information which identifies individuals]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]

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A7.2 - Other Goods	
Case no.:	AS0046
Company name:	SANY Heavy Machinery Limited

- Please complete the table below for all goods you produce which are not the like goods / goods concerned
- If goods belong to a similar product group, please include the generic name for the product type in the "Grouping" column

General information		
Other goods	Description	Grouping (if applicable)
[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	Earth-moving machinery
[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	Piling machinery

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B1.1 - Total Sales	
Case no.:	AS0046
Company name:	<i>insert company name</i>

Currency
RMB

• Please complete the tables below showing total sales by product, destination, for associated sales, independent sales and total sales made in the POI  
 • Update headings for Product 1 etc to reflect the name of the product. Add in additional lines if required  
 • The total figures should agree back to those reported in B1.2 - Upwards Sales

	Domestic sales during POI			Export sales to UK during POI			Exports sales to other countries during POI			Total Sales during POI		
	Volume (KG)	Volume (Unit)	Value	Volume (KG)	Volume (Unit)	Value	Volume (KG)	Volume (Unit)	Value	Volume (KG)	Volume (Unit)	Value
<b>三一 机械有限公司</b>												
Total turnover (All goods)	52	47	50	1	3	1	47	51	49	100	100	100
Turnover of goods concerned/like goods	38	15	32	0	0	0	34	14	31	72	36	63
Turnover of other goods	14	32	18	1	2	1	13	36	18	28	64	37

	Domestic sales			Export to UK			Exports to third countries			Total Sales		
	Volume (KG)	Volume (Unit)	Value	Volume (KG)	Volume (Unit)	Value	Volume (KG)	Volume (Unit)	Value	Volume (KG)	Volume (Unit)	Value
<b>Sales to External customers</b>												
Total turnover (All goods)	27	22	25	0	0	0	13	4	7	33	26	32
Turnover of goods concerned/like goods	20	7	19	0	0	0	11	2	5	26	9	24
Turnover of other goods	6	14	6	0	0	0	2	2	2	7	17	8

	Domestic sales			Export to UK			Exports to third countries			Total Sales		
	Volume (KG)	Volume (Unit)	Value	Volume (KG)	Volume (Unit)	Value	Volume (KG)	Volume (Unit)	Value	Volume (KG)	Volume (Unit)	Value
<b>Sales to Associated customers</b>												
Total turnover (All goods)	25	25	25	1	3	1	41	46	42	67	74	68
Turnover of goods concerned/like goods	18	7	13	0	0	0	61	12	25	47	20	39
Turnover of other goods	8	18	12	1	2	1	26	34	16	20	54	29

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B1.2 Upward sales reconciliation	
Case no.:	AS0046
Company name:	SANY Heavy Machinery Limited

- Please fill in the white cells only - except where explanations to variances are required
- Please ensure the table is completed using your accounting currency
- Please reference source documents used where applicable

Description 项目描述	Value 金额	Volume (Kg) 数量 (公斤)	Volume (Unit) 数量 (台数)	Source Documents 数据来源文件
Revenue in Income Statement	[Redacted – commercially sensitive information]			[Redacted – commercially sensitive information]
Variance	[Redacted – commercially sensitive information]			Please provide an explanation of the variance here
Total sales revenue of all goods during the accounting period	[Redacted – commercially sensitive information]			[Redacted – commercially sensitive information]
Difference between Investigation and Accounting Periods	[Redacted – commercially sensitive information]			[Redacted – commercially sensitive information]
Total sales of all goods during the POI as stated in your management accounts	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
Variance	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	Please provide an explanation of the variance here
Summary of all products sold	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Goods Concerned / Like Goods	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other products A- Excavators under 11 tonnes	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other products B-Loaders	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other products C-piling machine	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other products D-parts	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other - waste and service	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Goods Concerned / Like Goods	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Domestic Sales	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- UK Sales	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Third country sales	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
Sales of own production in POI	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Total sales of the goods concerned to the UK	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Total sales of the goods concerned on the domestic market	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Total sales of the goods concerned to all other countries	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
Resales in POI	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Resales of the goods concerned to the UK	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Resales of the goods concerned on the domestic market	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Resales of the goods concerned to all other countries	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]

	Value	Volume (KG)
<b>Sales forecasts: 2023 - 2028</b>		
Total sales of goods concerned to the UK	Unknown	Unknown
Total sales of all other goods to the UK	Unknown	Unknown

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<b>37: Entry to the UK</b>	
Code No:	3700
Change date:	1997 Entry Modification Update

\* Includes all used UK movement of manufactured goods for the goods contained under using the UK. Includes the goods covered both produced and purchased for resale.  
 \* Excludes any Composites such as PCB, PVC, for instance that consist of multiple PCBs, for some customs numbers should be referenced.  
 \* The list of the goods covered is an example, please check actual addresses.

Event Information		Customer Information										Movement Information										Invoice Information										Adjustments (Details of movement post arrival only)									
UK Reference Number	Invoice/Proforma Number	Invoice Date	Proforma Number	Customer name	Customer number	Customer Reg. (UK/EU/Other)	Customer type	Main invoice number	Commercial Invoice Date	Revenue Registration Ref	Invoice Origin (UK/Other)	Bill of lading no.	Delivery terms	Payment terms	Invoice quantity	Invoice unit measurement	Quantity in UK	Quantity in Units	Porting country (if applicable)	Basic invoice value	Taxes	Excise	Reliefs	Other charges (Specify)	Net invoice value	Invoice currency	Exchange rate	Net invoice value (if re-invoicing applies)	CP value (if re-invoicing applies)	Invoice weight	Weight (MM)	Volume (MM)	Packing	Other (MM)	Other (Specify)	Commission	Other (Specify)				
1	Proforma 1	Proforma 1	Proforma 1	Customer 1	Customer 1	Customer 1	Customer 1	Main 1	Commercial 1	Revenue 1	Invoice 1	Bill 1	Delivery 1	Payment 1	Invoice 1	Invoice 1	Quantity 1	Quantity 1	Porting 1	Basic 1	Taxes 1	Excise 1	Reliefs 1	Other 1	Net 1	Invoice 1	Exchange 1	Net 1	CP 1	Invoice 1	Weight 1	Weight 1	Volume 1	Packing 1	Other 1	Commission 1	Other 1				
M	Proforma 2	Proforma 2	Proforma 2	Customer 2	Customer 2	Customer 2	Customer 2	Main 2	Commercial 2	Revenue 2	Invoice 2	Bill 2	Delivery 2	Payment 2	Invoice 2	Invoice 2	Quantity 2	Quantity 2	Porting 2	Basic 2	Taxes 2	Excise 2	Reliefs 2	Other 2	Net 2	Invoice 2	Exchange 2	Net 2	CP 2	Invoice 2	Weight 2	Weight 2	Volume 2	Packing 2	Other 2	Commission 2	Other 2				
R	Proforma 3	Proforma 3	Proforma 3	Customer 3	Customer 3	Customer 3	Customer 3	Main 3	Commercial 3	Revenue 3	Invoice 3	Bill 3	Delivery 3	Payment 3	Invoice 3	Invoice 3	Quantity 3	Quantity 3	Porting 3	Basic 3	Taxes 3	Excise 3	Reliefs 3	Other 3	Net 3	Invoice 3	Exchange 3	Net 3	CP 3	Invoice 3	Weight 3	Weight 3	Volume 3	Packing 3	Other 3	Commission 3	Other 3				



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D3 - Upwards Cost Reconciliation	
Case no.:	AS0046
Company name:	SANY Heavy Machinery Limited

- Please fill in the white cells only - except where explanations to variances are required
- Please ensure the table is completed using your accounting currency
- Please reference source documents used where applicable

Description	Value	Volume Kg	Source Documents
Total Cost of sales/ cost of goods sold	[Redacted – commercially sensitive information]		[Redacted – commercially sensitive information]
Variance	[Redacted – commercially sensitive information]		[Redacted – commercially sensitive information]
Accounting Period of Costs of Sales/Costs of Goods Sold	[Redacted – commercially sensitive information]		[Redacted – commercially sensitive information]
Difference in costs of the goods sold during Investigation period and goods sold during accounting periods	[Redacted – commercially sensitive information]		[Redacted – commercially sensitive information]
Total cost of all goods sold during te POI as stated i your managment accounts	[Redacted – commercially sensitive information]		[Redacted – commercially sensitive information]
Variance	[Redacted – commercially sensitive information]		[Redacted – commercially sensitive information]
- Change in finished goods inventory	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
Total cost of production/quantity of all goods during the POI as stated in your management accounts	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
Variance	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
Summary of the cost of production for all goods	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Goods concerned	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other goods A-excavators under 11 tonnes	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other goods B-loaders	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other goods C-piling rigs	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other goods D-parts	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Other-waste and service	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
Cost of production for the goods concerned	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Domestic Sales	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- UK Sales	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]
- Third Country Sales	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]	[Redacted – commercially sensitive information]





























